

Sector #
(3)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wagle, et al. :
No.: 09/766,547 : Group Art Unit No.: 1614
Filed: January 19, 2001 : Examiner: Unknown
For: Thiazole, Imidazole and Oxazole :
Compounds and Treatments of Disorders
Associated With Protein Aging

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on September 13, 2001.

By: Peggy Ferello
Peggy Ferello

**TRANSMITTAL LETTER
&
SMALL ENTITY STATEMENT**

Transmitted herewith are the following documents in the above-identified application:

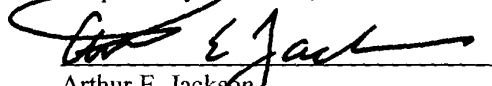
- ☒ Response to Notice of Missing Parts of Nonprovisional Application and Copy of Notice;
- ☒ Declaration and Power of Attorney;
- ☒ Petition for Extension of Time;
- ☒ Check No. 1004100 in the amount of \$1,520.00; and
- ☒ Acknowledgment postcard.

Applicants request that any additional fees required by these papers be charged, or any overpayment be credited, to Deposit Account No. 04-0480.

Small Entity Statement

I hereby declare that the Assignee, Alteon, Inc., qualifies as a small business concern as defined in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent Office under 37 CFR 1.27(c).

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Arthur E. Jackson", written over a horizontal line.

Arthur E. Jackson
Registration No. 24,354
Attorney for Applicant

DECHERT
P. O. Box 5218
Princeton, NJ 08543
Attn: Arthur E. Jackson, Esq.
(609) 620-3254
Fax: (609) 620-3259

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)

Docket No.
361331-501

In Re Application Of: **Wagla et al.**

Serial
09/766,547

Filing Date
January 19, 2001

Examiner
Unknown

Group Art Unit
1614

Invention:

THIAZOLE, IMIDAZOLE AND OXAZOLE COMPOUNDS AND TREATMENT OF DISORDERS ASSOCIATED WITH PROTEIN AGING

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

March 13, 2001

Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☒ Other (list):

Petition for Extension of Time
Transmittal Letter & Small Entity Statement
Check No. 1004100 in the amount of \$1,520.00
Acknowledgement Postcard

(3)

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)

Docket No.
361331-501

In Re Application Of: Wagle, et al.

Serial No.
09/766,547

Filing Date
January 19, 2001

Examiner
Unknown

Group Art Unit
1614

Invention:

THIAZOLE, IMIDAZOLE AND OXAZOLE COMPOUNDS AND TREATMENT OF DISORDERS ASSOCIATED WITH PROTEIN AGING

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$355.00

☐ Design application filing fee _____

☒ Total number of independent claims = 12 \$360.00

☒ Total number of claims = 25 \$45.00

☐ Multiple dependent claims _____

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____

☐ Fee for processing an application filed with a non-English language specification _____

☐ Fee for processing and retention of application _____

Total completion of application fees \$825.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☒ Four months ☐ Five months

from: May 13, 2001

Date

until: September 13, 2001

Date

Total time extension fees \$695.00

Total fees due \$1,520.00

3

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
361331-501

In Re Application Of: Wagle, et al.

Serial No.
09/766,547

Filing Date
January 19, 2001

Examiner
Unknown

Group Art Unit
1614

Invention:

**THIAZOLE, IMIDAZOLE AND OXAZOLE COMPOUNDS AND TREATMENT OF DISORDERS ASSOCIATED
WITH PROTEIN AGING**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of \$1,520.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 04-0480
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 04-0480
A duplicate copy of this sheet is enclosed.


Signature

Dated:

Arthur E. Jackson
Reg. No. 34,354
DECHERT
P. O. Box 5218
Princeton, New Jersey 08543
tele: (609) 620-3254
fax: (609) 620-3259

CC:

I certify that this document and fee is being deposited on Sept. 13, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Peggy Ferello

Typed or Printed Name of Person Mailing Correspondence



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

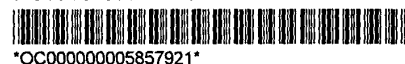
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/766,547	01/19/2001	Dilip Wagle	361331-501

DECHERT
P. O. Box 5218
Princeton, NJ 08543



CONFIRMATION NO. 9923

FORMALITIES LETTER



OC000000005857921

Date Mailed: 03/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$810.
 - \$90 for 5 total claims over 20.
 - \$720 for 9 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1650.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/19/2001 AWUNDAF1 00000033 09766547
01 FC:201
02 FC:205
03 FC:202
04 FC:203
355.00
65.00
360.00
45.00
05 06 07 08